

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being mailed via "Express Mail Post Office to Addressee" service of the United States Postal Services (Express Mail Label No. EL 920 299 226 US) on the date shown below in an envelope addressed to the Assistant Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

Dated: December 21, 2001

By: Kim A. Cabello
Kim A. Cabello

10/03/2001
U.S. PTO
12/21/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING APPLICATION

Under Rule 53(a), (b) & (f)

(No Filing Fee or Oath/Declaration)

(Do NOT use for Provisional or PCT Applications)

Use for Design or Utility Applications

RULE 53(f) NO DECLARATION



00909

Hon. Commissioner of Patents
Washington, DC 20231

Atty. Dkt.

202102-272220

C/M#

Client Ref

Date:

December 21, 2001

Sir:

1. This is a Request for filing a new Patent Application (☐ Design ☒ Utility) entitled:

2. (Complete) Title:

**ENCRYPTION KEY DISTRIBUTION AND NETWORK REGISTRATION SYSTEM,
APPARATUS AND METHOD**

without a filing fee or Oath/Declaration but for which is enclosed the following:

3. ☒ Abstract 1 page(s).

4. 27 Pages of Specification (only spec. and claims);

5. ☐ Specification in non-English language

6. 85 Numbered claim(s); and

7. ☒ Drawings: 9 sheet(s) ☒ 1 set informal;

8. ☐ formal of size: ☐ A4 ☐ 11"

9. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/314,151	August 22, 2001	(2)	
(3)		(4)	
(5)		(6)	

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		<input type="checkbox"/> See 3 rd page for additional priorities	

11. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date)
in U.S. Application No. / filed on

12. ☐ This is a reissue of Patent No.

13. ☒ See top first page re prior Provisional, National, International application(s) (X box only if info is there and do not complete item 14 or 15.)

14. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. / filed
No. / filed
No. / filed

No. PCT/ / filed , which

designated the U.S. and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English

15. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above

listed US applications

16. Extension to date: ☐ concurrently filed ☐ not needed ☐ previously filed
17. ☐ Small Entity Status is claimed (**pre-filing confirmation required**)
- 17(a) ☐ Attached: (No.) Small Entity Statement(s). (Since 9/8/00 Small Entity Statement not Essential to make claim)
- 17(b) ☐ See **NONPUBLICATION REQUEST** under Rule 213(a) attached (Pat-258)
18. ☐ Assignee (optional): _____
19. ☐ Attached:

20. This application is made by the following named inventor(s) (Double check instructions for accuracy.):
(Listing of inventor(s) not a requirement, but list if known)

(1) Inventor	Stephan	Walter	Gehring
	First	Middle Initial	Family Name
Residence	Menlo Park	California/United States	Switzerland
	City	State/Foreign Country	Country of Citizenship
Mailing Address	2045 Avy Avenue		
(include Zip Code)	94025		

(2) Inventor	Daniel	Paul	Peters
	First	Middle Initial	Family Name
Residence	Carlsbad	California/United States	United States
	City	State/Foreign Country	Country of Citizenship
Mailing Address	7885 Sitio Mirto		
(include Zip Code)	92009		

(3) Inventor	Jason	Lee	Ellis
	First	Middle Initial	Family Name
Residence	San Diego	California/United States	United States
	City	State/Foreign Country	Country of Citizenship
Mailing Address	P.O. Box 28805		
(include Zip Code)	92198		

(4) Inventor	Satish		Ananthakrishnan
	First	Middle Initial	Family Name
Residence	San Diego	California/United States	India
	City	State/Foreign Country	Country of Citizenship
Mailing Address	3833 Nobel Drive, Apt. 3114		
(include Zip Code)	92122		

(5) Inventor			
	First	Middle Initial	Family Name
Residence			
	City	State/Foreign Country	Country of Citizenship
Mailing Address			
(include Zip Code)			

21. NOTE: FOR ADDITIONAL INVENTORS, "X" box ☐ and list additional inventors on attached sheet (incorporated by reference)

Pillsbury Winthrop LLP
Intellectual Property Group



00909

50 Fremont Street/P.O. Box 7880
San Francisco, CA 94105-2228
Tel.: (415) 983-1000

By: Atty: James Y. Sze

Reg. No. 43,943

Sig:

James Y. Sze

Fax: (415) 983-1200